

Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd. CIN No: U65990MH1993PLC071003
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.
Tel.: 6658 5000, Fax: 6658 5012/13, www.canararobeco.com

CANARA ROBECO Mutual Fund

Application No.

APPLICATION FORM (Please fill in BLOCK Letters)

Distributor/Broker ARN/RIA Code#	Sub Broker Code / ARN	Employee Unique Identification Number	Bank Serial No. / Branch Stamp / Receipt Date
ARN-111310		E-156922	

#By mentioning RIA Code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Canara Robeco Mutual Fund.
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction 28): I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

⊗ Signature of 1st Applicant / Guardian

⊗ Signature of 2nd Applicant

⊗ Signature of 3rd Applicant

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruction 25)

☐ I confirm that I am a First time investor across Mutual Funds.
(₹ 150 deductible as Transaction Charge and payable to the Distributor)

☐ I confirm that I am an existing investor in Mutual Funds.
(₹ 100 deductible as Transaction Charge and payable to the Distributor)

In case the purchase / subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase / subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number and proceed to Investment Details and Payment Details]

Folio No. Name of 1st Unit Holder
The details in our records under the folio number mentioned will apply for this application.

PAN / PEKRN AND CKYC COMPLIANCE STATUS DETAILS - Mandatory [Refer Instruction Nos. 12 & 26]

PAN/PEKRN # (refer instruction)	CKYC Compliance Status** (if yes, attach proof)	KIN (CKYC Identification No.)
First / Sole Applicant@	Yes ☐	
Second Applicant	Yes ☐	
Third Applicant	Yes ☐	

Aadhaar Number (Optional) First/Sole Applicant@ Second Applicant Third Applicant

@ If the first/sole applicant is a Minor, then please provide details of Natural / Legal Guardian. **Refer instruction 12

APPLICANT(S) INFORMATION [Refer Instruction 1]

NAME OF FIRST / SOLE APPLICANT / MINOR (incase of minor their shall be no joint holder) DATE OF BIRTH (Mandatory in case of Minor)

Mr. | Ms. | M/s.

Father / Husband's Name

Occupation Please (✓) ☐ Private Sector Service ☐ Government Service ☐ Professional ☐ Retired ☐ Student ☐ Others ☐
Public Sector ☐ Agriculturist ☐ Business ☐ Forex Dealer ☐ Housewife ☐ Please specify

Status Please(✓) ☐ Resident Individual ☐ NRI - NRO ☐ Trust ☐ HUF ☐ Bank / Fls ☐ NRI-NRE ☐
Minor thru Guardian ☐ Company/Body Corporate ☐ Fls/FIPs ☐ Partnership Firm ☐ Society ☐

OTHER DETAILS Please tick (✓) ☐ Individual ☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs - 1 Crore ☐ 1 Crore & above

[OR]

Net-worth in ₹ as on (date)

2. Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

3. Is the entity involved in / providing any or the following services

- Foreign Exchange / Money Changer Services ☐ YES ☐ NO
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) ☐ YES ☐ NO
- Money Lending / Pawning ☐ YES ☐ NO

4. Any other information

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

ACKNOWLEDGMENT SLIP (TO BE FILLED IN BY THE SOLE/FIRST APPLICANT)

Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd.
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.

Application No.

CANARA ROBECO Mutual Fund

Received from Mr./Ms./M/s.

Date

An application for purchase of units of

Stamp, Signature & Date

along with cheque/DD as detailed overleaf. Cheques/Drafts are subject to realisation.

NAME OF SECOND APPLICANT
Mr. | Ms. | M/s.

Occupation Please (✓)

Private Sector Service
Public Sector

☐
☐

Government Service
Agriculturist

☐
☐

Professional
Business

☐
☐

Retired
Forex Dealer

☐
☐

Student
Housewife

☐
☐

Others
Please specify

☐

Status Please(✓)

Resident Individual
Minor thru Guardian

☐
☐

NRI - NRO
Company/Body Corporate

☐
☐

Trust
HUF
FIs/FIPs

☐
☐
☐

Bank / FIs
Partnership Firm

☐
☐

NRI-NRE
Society

☐
☐

OTHER DETAILS Please tick (✓)

☐ Individual

☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)

☐ Below 1 Lac

☐ 1 - 5 Lacs

☐ 5 - 10 Lacs

☐ 10 - 25 Lacs

☐ 25 Lacs - 1 Crore

☐ 1 Crore & above

[OR]

Net-worth in ₹ as on (date)

D

D

/

M

M

/

Y

Y

Y

Y

2. Please tick if applicable:

☐ Politically Exposed Person (PEP)

☐ Related to a Politically Exposed Person (PEP)

☐ Not Applicable

3. Is the entity involved in / providing any or the following services

– Foreign Exchange / Money Changer Services

☐ YES

☐ NO

– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)

☐ YES

☐ NO

– Money Lending / Pawning

☐ YES

☐ NO

4. Any other information

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

NAME OF THIRD APPLICANT
Mr. | Ms. | M/s.

Occupation Please (✓)

Private Sector Service
Public Sector

☐
☐

Government Service
Agriculturist

☐
☐

Professional
Business

☐
☐

Retired
Forex Dealer

☐
☐

Student
Housewife

☐
☐

Others
Please specify

☐

Status Please(✓)

Resident Individual
Minor thru Guardian

☐
☐

NRI - NRO
Company/Body Corporate

☐
☐

Trust
HUF
FIs/FIPs

☐
☐
☐

Bank / FIs
Partnership Firm

☐
☐

NRI-NRE
Society

☐
☐

OTHER DETAILS Please tick (✓)

☐ Individual

☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)

☐ Below 1 Lac

☐ 1 - 5 Lacs

☐ 5 - 10 Lacs

☐ 10 - 25 Lacs

☐ 25 Lacs - 1 Crore

☐ 1 Crore & above

[OR]

Net-worth in ₹ as on (date)

D

D

/

M

M

/

Y

Y

Y

Y

2. Please tick if applicable:

☐ Politically Exposed Person (PEP)

☐ Related to a Politically Exposed Person (PEP)

☐ Not Applicable

3. Is the entity involved in / providing any or the following services

– Foreign Exchange / Money Changer Services

☐ YES

☐ NO

– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)

☐ YES

☐ NO

– Money Lending / Pawning

☐ YES

☐ NO

4. Any other information

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

NAME OF THE GUARDIAN (In case of first Applicant is a Minor)
Mr. | Ms. | M/s.

Relation with Minor Please (✓)

Mother ☐

Father ☐

Legal Guardian ☐

Proof of DOB (Any one Mandatory)

☐ Birth Certificates

☐ School Certificates / Mark Sheet

☐ Pass Port

☐ Others

Occupation Please (✓)

Private Sector Service
Public Sector

☐
☐

Government Service
Agriculturist

☐
☐

Professional
Business

☐
☐

Retired
Forex Dealer

☐
☐

Student
Housewife

☐
☐

Others
Please specify

☐

Status Please(✓)

Resident Individual
Minor thru Guardian

☐
☐

NRI - NRO
Company/Body Corporate

☐
☐

Trust
HUF
FIs/FIPs

☐
☐
☐

Bank / FIs
Partnership Firm

☐
☐

NRI-NRE
Society

☐
☐

OTHER DETAILS Please tick (✓)

☐ Individual

☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)

☐ Below 1 Lac

☐ 1 - 5 Lacs

☐ 5 - 10 Lacs

☐ 10 - 25 Lacs

☐ 25 Lacs - 1 Crore

☐ 1 Crore & above

[OR]

Net-worth in ₹ as on (date)

D

D

/

M

M

/

Y

Y

Y

Y

2. Please tick if applicable:

☐ Politically Exposed Person (PEP)

☐ Related to a Politically Exposed Person (PEP)

☐ Not Applicable

3. Is the entity involved in / providing any or the following services

– Foreign Exchange / Money Changer Services

☐ YES

☐ NO

– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)

☐ YES

☐ NO

– Money Lending / Pawning

☐ YES

☐ NO

4. Any other information

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

Mode of Holding Please (✓)

☐ Anyone or Survivor

☐ Joint

(Default option is Anyone or Survivor)

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Payment Details	
					Cheque/DD No./UTR No. (incase of NEFT/RTGS)	Bank and Branch
1.						
2.						
3						

M/s. Karvy Fintech Private Limited “Karvy Plaza”

Karvy Selenium, Tower B, Plot No 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad 500 032

Tel No. : 040 33215262/ 5269 E-mail : crmf@karvy.com

POWER OF ATTORNEY (PoA) HOLDER DETAILS

Name of POA Mr. Ms. M/s.																								
PAN									KYC [Please (✓) (Mandatory)]	☐ Proof Attached														
Occupation Please (✓)	Private Sector Service Public Sector	☐	Government Service Agriculturist	☐	Professional Business	☐	Retired Forex Dealer	☐	Student Housewife	☐	Others ☐ Please specify													
Status Please(✓)	Resident Individual Minor thru Guardian	☐	NRI - NRO ☐ Trust Company/Body Corporate	☐	HUF FIs/FIPs	☐	Bank / FIs Partnership Firm	☐	NRI-NRE Society	☐														

OTHER DETAILS Please tick (✓) ☐ Individual ☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs - 1 Crore ☐ 1 Crore & above

[OR]

Net-worth in ₹ _____ as on (date)

D	D	/	M	M	/	Y	Y	Y	Y
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2. Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

3. Is the entity involved in / providing any or the following services

– Foreign Exchange / Money Changer Services	☐ YES	☐ NO
– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)	☐ YES	☐ NO
– Money Lending / Pawning	☐ YES	☐ NO

4. Any other information _____

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

DEMAT ACCOUNT DETAILS (This section to be filled only if investor wish to hold units in demat form) (Client Master List (CML) to be enclosed) (Refer instructions No. 24)

National Securities Depository Limited (NSDL)													Central Depository Services (India) Limited (CDSL)																																								
Depository Participant Name _____													Depository Participant Name <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>																																								
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FATCA/CRS DETAILS For individuals & HUF (Mandatory) (Refer instruction no. 30)

The below information is required for all applicant(s) / guardian

Address Type: ☐ Residential ☐ Business ☐ Registered Office (for address mentioned in form / existing address appearing in Folio)

Do you have non-Indian Country[ies] of Birth / Citizenship / Nationality and Tax Residency? ☐ Yes ☐ No Please tick as applicable and if yes, provide the below mentioned information (mandatory)

Sole / First Applicant / Guardian ☐ Yes ☐ No		Second Applicant ☐ Yes ☐ No		Third Applicant ☐ Yes ☐ No or ☐ POA ☐ Yes ☐ No	
Date of Birth		Date of Birth		Date of Birth	
Place of Birth		Place of Birth		Place of Birth	
Country of Birth		Country of Birth		Country of Birth	
Country of Citizenship/ Nationality		Country of Citizenship/ Nationality		Country of Citizenship/ Nationality	
Are you a US Specified Person? ☐ Yes ☐ No please provide Tax Payer Id		Are you a US Specified Person? ☐ Yes ☐ No please provide Tax Payer Id		Are you a US Specified Person? ☐ Yes ☐ No please provide Tax Payer Id	
Country of Tax Residency# [other than India]	Taxpayer Identification No	Country of Tax Residency# [other than India]	Taxpayer Identification No	Country of Tax Residency# [other than India]	Taxpayer Identification No
1		1		1	
2		2		2	

Please indicate all countries in which you are a resident for tax purpose and associated Taxpayer Identification number.

In case of applications with PoA, the PoA holder should fill separate form to provide the above details mandatorily.

MAILING ADDRESS [Please provide Full Address. P.O. Box No. may not be sufficient. Overseas Investors will have to provide Indian Address]

Local Address of 1st Applicant																										
City									State									Pin Code								
Tel Office									Residence									Mobile								
E-mail*	P L E A S E U S E B L O C K L E T T E R S																									

* The primary holder's own email address and mobile number should be provided for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.
Overseas Correspondence address (Mandatory for NRI/FII Applicant)

[illegible]

COMMUNICATION (Please ✓)

☐ I/We wish to receive Account Statements/Annual Reports/Quarterly Statements/Newsletter/Updates or any other Statutory/Regulatory Information via Physical Mode.

BANK ACCOUNT DETAILS - Mandatory

Name of the Bank																																		
Account No.																A/c Type (please ✓)	☐ SAVINGS	☐ NRE	☐ CURRENT	☐ NRO	☐ FCNR													
Branch Address																																		
Bank Branch City											State											Pin Code						MICR Code						
(Please enter the 9 digit number that appears after your cheque number)																																		

IFSC CODE (RTGS/NEFT)		(Mandatory for Credit via NEFT/RTGS) Please attach a cancelled cheque OR a clear photo copy of a cheque
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(11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your Bank)

REDEMPTION / DIVIDEND REMITTANCE [Refer Instruction 20]

☐ Electronic Payment	It is the responsibility of the Investor to ensure the correctness of the IFSC code/ MICR code for Electronic Payout at recipient/ destination branch corresponding to the Bank details.	☐ Cheque Payment
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If MICR and IFSC code for Redemption/Dividend Payout is available all payouts will be automatically processed as Electronic Payout-RTGS/NEFT/Direct Credit/NECS.

SIP ENROLLMENT DETAILS

SIP Amount (Rs.) Enrollment Period
REGULAR SIP: Start Month - End Month - Frequency Please (✓) ☐ Any Date ☐ Monthly ☐ Quarterly
PERPETUAL SIP: Start Month Year until further instruction (or) End on Month Year

SIP Top Up : Rs. (in multiplies of Rs. 500/-) Frequency Please (✓) ☐ Half Yearly ☐ Yearly

Frequency Please (✓) ☐ Half Yearly ☐ Yearly

PAYMENT MECHANISM : Debit through ECS / Auto Debit facility (Fill up SIP Registration cum mandate form for NACH/ECS/Direct Debit)

INVESTMENT DETAILS AND PAYMENT DETAILS (Payment through Cash/Outstation Cheques not accepted)

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan/Option/Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Cheque/DD No./UTR No. (incase of NEFT/RTGS)	Bank and Branch and Account Number
1.						
2.						
3						

(Type of Account / Saving / Current / NRE / NRO / FCNR / NRSR) * All purchases are subject to realization of cheque/DD.

Details of Beneficial Ownership (Please tick applicable category). Ownership details to be provided if the Ownership percentage/interest in the trust of any Beneficiary is as per the threshold limit provided below. Details to be provided for each such beneficiary. (Mandatory for Non-Individual)

☐ Category	☐ Unlisted company	☐ Partnership Firm	☐ Unincorporated Association/Body of Individuals	☐ Trust	☐ Foreign Investor \$\$\$
Ownership per cent @@@	>25%	>15%	>15%	>=15%	

@@@ Ownership percentage of shares/capital/profits/property of juridical person/interest in the Trust as on the date of the application shall be furnished by the investor.

\$\$\$ In the case of Foreign investors, the beneficial ownership will be determined as per SEBI guidelines. For details refer to SAI/relevant Addendum. In case of any change in the beneficial ownership, the investor will be responsible to intimate CRAMC / its Registrar / KRA as may be applicable immediately about such change.

Details of Beneficial Ownership (Please attach a separate sheet with this format if the space provided is insufficient)

Sr.	Name	Address	Details of Identity such as PAN/Passport	% of ownership

[Please attach self attested copy of PAN/Passport (proof of photo identity) along with application form]

NOMINATION DETAILS for Individuals [Minor / HUF / POA Holder / Non Individuals cannot Nominate – Refer Instruction No. 13]

☐ I/We _____ do hereby nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees. ☐ I/We _____ do not wish to nominate

No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship with Unit Holder	@ % of Share
1		D D - M M - Y Y Y Y Y			
2		D D - M M - Y Y Y Y Y			
3		D D - M M - Y Y Y Y Y			

⊗ First/Sole Applicant/Guardian	⊗ Second Applicant	⊗ Third Applicant
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@ If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)

DECLARATION

To the trustees Canara Robeco Mutual Fund. I / We have read and understood the contents of the SAI, SID and Key Information Memorandum of the Scheme. I/We hereby apply to the Trustees of Canara Robeco Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We hereby declare that I/ We are authorised to make this investment in the above mentioned Scheme (s) and that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the government of India from time to time and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorize the Fund to disclose details of my/our account and all my/our transactions to the intermediaries whose stamp appears on the application form. I also authorize the Fund to disclose details as necessary, to the Registrar & Transfer agent(s), call centers, banks, custodians, depositories and/or authorised external third parties who are involved in transaction processing, despatches, etc. for the purpose of effecting payments to me/us. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that currently there is no subsisting order/ruling/judgment etc., in force which has been passed by of any court, tribunal, statutory authority or regulator, including SEBI prohibiting or restraining me/us from dealing in securities.

That in the event, the above information and/or any part of it is/are found to be false/untrue/misleading, I/We will be liable for the consequences arising therefrom. I/We will indemnify the fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity, and authorization of my/our transaction.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made there under, for (i) collecting, storing and usage (ii) validating / authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made there under) and PMILA. I / We hereby provide my / our consent for sharing / disclosure of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN.

Applicable to NRIs only: I/We confirm that I am/we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on: ☐ Repatriation basis ☐ Non Repatriation basis.

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

⊗ First/Sole Applicant/Guardian	⊗ Second Applicant	⊗ Third Applicant
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To be furnished by partnership firms

To, The Trustees of Canara Robeco Mutual Fund, Sub : Our Subscription to the Schemes of

We, the undersigned, being the partner of M/s. _____ a Partnership firm formed under Indian Partnership Act, 1932 do hereby jointly and severally authorise Mr. _____ to subscribe an amount of ₹ _____ for allotment of units of _____ Scheme on behalf of and in the name of our firm. He is / They are also authorised to encash / disinvest the above units. We undertake to intimate you in writing about any change in the constitution or composition of our firm and upon such change, also arrange to lodge the specimen signatures of the partners authorised to deal with the above units. We enclose the copy of the Partnership Deed alongwith this application for subscription.

Name of the partners _____ Signatures _____

Signatures